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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/820,028	04/08/2004	Takaya Matsuishi	251699US2	7569

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OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.
1940 DUKE STREET
ALEXANDRIA, VA 22314

EXAMINER

LUDWIG, MATTHEW J

ART UNIT	PAPER NUMBER
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2178

SHORTENED STATUTORY PERIOD OF RESPONSE	NOTIFICATION DATE	DELIVERY MODE
3 MONTHS	02/27/2007	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Notice of this Office communication was sent electronically on the above-indicated "Notification Date" and has a shortened statutory period for reply of 3 MONTHS from 02/27/2007.

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Office Action Summary

Application No.

10/820,028

Applicant(s)

MATSUISHI, TAKAYA

Examiner

Matthew J. Ludwig

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 November 2006.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3-5,9-18,20-23 and 25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,3-5,9-18,20-23 and 25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

1. This action is in response to the amendment filed 11/22/2006. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.
2. Claims 1, 3-5, 9-18, 20-23, and 25, are pending in the application. Claims 1, 14, 15, 23, and 25, are independent claims. Applicant cancelled claims 2, 6-8, 19, and 24 in the amendment filed 11/22/2006
3. Claims 1-14, 23, and 24, rejected under 35 U.S.C. 101 as directed to non-statutory subject matter have been removed.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. **Claims 1, 3, 4, 5, 9-15, 17, 18, 20-23, and 25, are rejected under 35 U.S.C. 103(a) as being unpatentable over Moshfeghi, USPN 6,476,833 issued 11/2002.**

In reference to Independent claim 1, Moshfeghi teaches:

A method of controlling internet browser functionality and display according to user access and authorization levels (Abstract), in response to a client request, with database and browser interface which determines for each display section whether the information to be contained in the display satisfies the user's access permissions (compare to "*a request-related*

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information items related to processing requests received from an external device which is connected with the web page creation through a network"). See Col. 12, l. 49-Col. 13, l. 37, and Col. 13, l. 39-52.

Each section of the browser page contains a prescribed type of information, according to access rules (compare to "*a request-related information recording unit recording a request-related information item in the request-related information management unit in response to a processing unit*"). See column 8, lines 39-67.

Some users are allowed to access any resource on the public Internet or private intranets, while other users can only access the limited lists of resources set forth in the content of their user profile (compare to "*request including a request-related information item, the request-related information item being transmitted to a data repository by the request-related information management unit for obtaining an identification of a document path from the data repository*"). See column 3, lines 10-26.

The reference provides a method for creating a user directory that preferably stores for each user authentication information, role information, access control information, general information, and so forth. Role information includes data indicative of a user's role in the institution using the client-server system. This data can indicate that a user is a physician along with specialty and seniority, or a nurse along with speciality and seniority. Access control information includes data indicative of a user's authority to access and update the various types of data stored through the system. The system administrator is authorized to read and update the user directory and user profile databases (compare to "*activate a root document folder corresponding to the document path in the user profile upon determination that the root*

document folder is not active in the user profile”). See column 7, lines 50-67. The reference provides a generic description of a root path based upon a user profile and a means of updating a root path based upon newly acquired information. The reference fails to explicitly state a determination that the root document folder is not active in the user profile, however, the system administrator does have the authority to make a determination of recent activities within an organization. Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to have utilized the access control methods and the well-known system administrator methods taught by Moshfeghi to provide root document folder or content information presented as folder within the user interface based on access permission and user profiles because it would have given an user/administrator up-to-date content based upon a specific path.

Moshfeghi teaches that the browser display is updated in accordance with the determination result to create and focus on the relevant data (Col. 14, l. 15-50). Moshfeghi teaches the dynamic creation of a home page for restricted users based on authorizations in the user profile (Col. 14, l. 15-40). The page is created dynamically on request, therefore it automatically changes configuration if the profile satisfies the prescribed condition (compare to “*a Web page creation unit creating a Web page with which a user interface corresponding to the request-related information item concerned is displayed based on the request-related information item recorded in the...*”). See column 14, lines 15-50.

In reference to dependent claim 3, Moshfeghi teaches:

The prescribed condition varies for each of the display sections. See column 13, lines 53-67 and column 14, lines 1-14.

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In reference to dependent claim 4, Moshfeghi teaches:

A “crawler” program to update information contained in the display. The crawler program can periodically access each allowed URI address on the network to retrieve the document title. See column 14, lines 32-40.

In reference to dependent claim 5, Moshfeghi teaches:

Display sections are emphasized according to user profile records, i.e., by creating a browser sub-window including specific controls and markup language documents. See column 21-22, claim 1. Further it is inherent in Moshfeghi’s disclosure that the display data layout could be modified to expand a particular display section or to position it above other display sections, because Moshfeghi discloses that the display of controls and data can be resized, rearranged, temporarily hidden, overlapped, minimized, maximized, as windowing layouts well known in the art (Col. 12, l. 18-29).

In reference to dependent claim 9, Moshfeghi teaches an updatable homepage for restricted users where the configuration of each display section is managed dynamically (Col. 14, l. 15-55). Moshfeghi teaches a “crawler” program to update information contained in the display (Col. 14; l. 32-40).

In reference to dependent claims 10, Moshfeghi teaches:

The display configuration data of the display sections includes the information about the determination function. because each of the sub-windows are configured according to the directions in the user profile records (Col. 13, l. 21-35).

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In reference to dependent claim 11-13, Moshfeghi teaches:

Other features of browsers are preferably enabled/disabled for different categories of users. These configurable features include support for markup language forms, tables, applets, frames, document printing. See column 11, lines 15-25.

In reference to dependent claim 14, 15, 17, 18, 20-23, and 25, the claims reflect similar limitations for carrying out a request-related information management system as those found in claims 1-13. Therefore, the claims are rejected under similar rationale.

Response to Arguments

6. Applicant's arguments with respect to claims 1-14, 23, and 24 have been considered but are moot in view of the new ground(s) of rejection. Applicant cancelled claims 2, 6-8, 16, and 19.

Applicant added subject into the independent claims which, when read as a whole, changed the scope of the invention. More specifically, applicant added, the request including a request-related information item, the request related information item being transmitted to a data repository by the request-related information management unit for obtaining an identification of a document path from the data repository in response. The rejection of claims 1-14, 23, and 24, have been changed accordingly.

Conclusion

7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

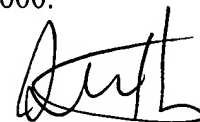
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Matthew J. Ludwig whose telephone number is 571-272-4127. The examiner can normally be reached on 9:00am-6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Hong can be reached on 571-272-4124. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



ML

STEPHEN HONG
SUPERVISORY PATENT EXAMINER